

# Helly numbers for crystals and cut-and-project sets

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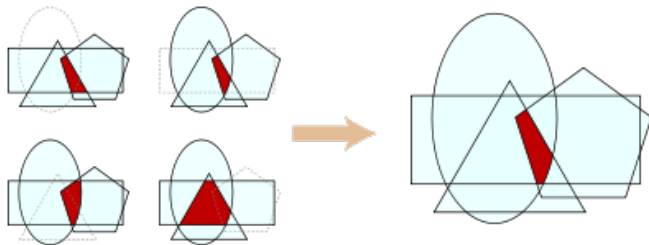
The University of Texas Rio Grande Valley

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# HELLY THEOREM

## Theorem (Helly, 1923)

Let  $\mathcal{F}$  be a finite family of convex sets in  $\mathbb{R}^d$ . If any  $d + 1$  sets from  $\mathcal{F}$  have a non-empty intersection, then all sets from  $\mathcal{F}$  have a non-empty intersection.



# HELLY-TYPE THEOREM FOR LATTICES

## Theorem (Doignon, 1973)

*Let  $\mathcal{F}$  be a finite family of convex sets in  $\mathbb{R}^d$ . If any  $2^d$  sets from  $\mathcal{F}$  intersect at an integer point, then all sets from  $\mathcal{F}$  intersect at an integer point.*

In both theorems the numbers  $d + 1$  and  $2^d$  can't be decreased.

# HELLY NUMBER FOR A SET

## Definition

Fix a set  $S$  in  $\mathbb{R}^d$ .

Let  $n$  be the smallest integer number such that the following condition hold for any finite family  $\mathcal{F}$  of convex sets in  $\mathbb{R}^d$ . If any  $n$  sets from  $\mathcal{F}$  intersect at a point of  $S$ , then all sets from  $\mathcal{F}$  intersect at a point of  $S$ .

This number  $n$  is called the **Helly number of  $S$** , or just  **$S$ -Helly number**, or  $h(S)$ .

If a number  $n$  from the definition doesn't exist, then  $h(S) = \infty$ .

- ▶  $h(\mathbb{R}^d) = d + 1$ .
- ▶  $h(\mathbb{Z}^d) = h(d\text{-dimensional lattice}) = 2^d$ .
- ▶  $h(\mathbb{S}^{d-1}) = \infty$ .

# DISCRETE AND DELONE SETS

## Definition

Set  $S$  in  $\mathbb{R}^d$  is called a **discrete set** if every ball in  $\mathbb{R}^d$  contains only finitely many points of  $S$ .

## Definition

Set  $S$  in  $\mathbb{R}^d$  is called a **Delone set** if

- ▶ distance between two different points of  $S$  is greater than some fixed positive number;
- ▶ radius of a ball in  $\mathbb{R}^d$  without points of  $S$  is less than some fixed positive number.

Sometimes Delone sets are called separated nets which are **uniformly discrete** and **relatively dense**.

# HELLY NUMBERS FOR DISCRETE SETS

Let  $S$  be a discrete set in  $\mathbb{R}^d$  and  $n(S)$  be the largest number of vertices of an **empty  $S$ -polytope**.

## Definition

The **convex** polytope  $P$  is an empty  $S$ -polytope if

- ▶ all vertices of  $P$  are from  $S$ , and
- ▶  $P$  does not contain other points from  $S$ .

## Lemma (Hoffman, 1979)

$h(S) = n(S)$  or  $h(S) = \infty$  if  $n(S)$  does not exist.

# HELLY NUMBERS FOR DELONE SETS. FINITE AND INFINITE

## Example

For  $\mathbb{Z}^d$ , the unit cube  $[0, 1]^d$  has  $2^d$  vertices and is an empty  $\mathbb{Z}^d$ -polytope.

Any set of at least  $2^d + 1$  points from  $\mathbb{Z}^d$  contains two points from one parity class and does not give an empty  $\mathbb{Z}^d$ -polytope.

## Example

For every  $n \geq 3$  we can find a convex  $n$ -gon with vertices in the lattice  $\mathbb{Z}^2$ . Using an appropriate  $GL_2(\mathbb{Z})$  transformation we can transform this polygon into a “very thin” (and long) lattice polygon  $P_n$ .

Placing copies of  $P_n$  “very far apart” and removing lattice points inside each  $P_n$  we get a Delone set  $S$  with  $h(S) = \infty$ .

# DISCRETE SETS WITH FINITE HELLY NUMBER

What are discrete or Delone sets with finite Helly number?

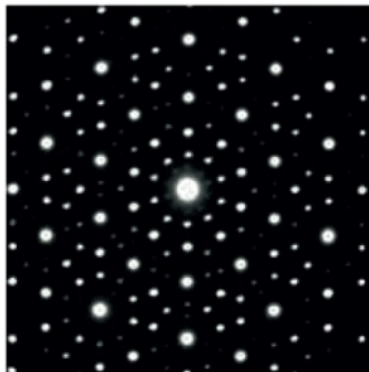
## Theorem (De Loera, La Haye, Oliveros, Roldán-Pensado)

- ▶ If  $S = \mathbb{Z}^2 \setminus L$  where  $L$  is a sublattice of  $\mathbb{Z}^2$ , then  $h(S) \leq 6$ ;
- ▶ If  $S = \mathbb{Z}^d \setminus (L_1 \cup \dots \cup L_k)$  where each  $L_i$  is a (shifted) sublattice of  $\mathbb{Z}^d$ , then  $h(S) \leq C_k 2^d$ .



## CRYSTALS AND CUT-AND-PROJECT SETS

- ▶ repetitive clusters;
- ▶ periodic or quasi-periodic;
- ▶ “good” x-ray diffraction picture.



# CRYSTALS AND CRYSTALLOGRAPHIC GROUPS

## Definition

**Crystallographic group** is a discrete subgroup of isometries with bounded fundamental domain.

## Definition

**Crystal** is a union of finitely many orbits of a crystallographic group.

## Theorem (Bieberbach, 1911-12)

*Every crystallographic group in  $\mathbb{R}^d$  contains a subgroup of finite index isomorphic to  $\mathbb{Z}^d$ .*

## Definition

**$k$ -crystal** in  $\mathbb{R}^d$  is a union of  $k$  translations of the same lattice.

# HELLY NUMBER FOR CRYSTALS

## Theorem

If  $S$  is a  $k$ -crystal in  $\mathbb{R}^d$ , then  $h(S) \leq k2^d$ .

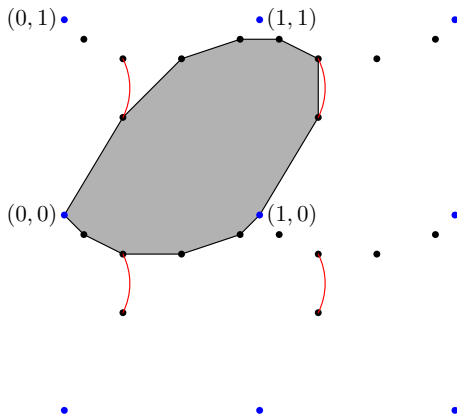
## Proof.

Let  $P$  be an empty  $S$ -polytope with at least  $k2^d + 1$  vertices. Then one copy of the corresponding lattice contains at least  $2^d + 1$  vertices of  $P$  and therefore is not empty.  $\square$

## TWO-DIMENSIONAL CRYSTALS

## Theorem

If  $S$  is a  $k$ -crystal in  $\mathbb{R}^2$ , then  $h(S) \leq k + 6$ ; tight for  $k \geq 6$ .



## TWO-DIMENSIONAL CRYSTALS

## Theorem

If  $S$  is a  $k$ -crystal in  $\mathbb{R}^2$ , then  $h(S) \leq k + 6$ ; tight for  $k \geq 6$ .

## Proof.

Let  $P$  be an empty  $S$ -polygon with maximal number of vertices. If  $N$  is the maximal number of vertices of  $P$  from one copy of the lattice, then we can bound the number of lattice copies with more than one point in  $P$  depending on  $N$ .  $\square$

General bounds of  $h(S)$  for  $k$ -crystals.

$k$	1	2	3	4	5	$\geq 6$
max. $h(S)$	4	6	7	9	10	$k + 6$

# $d$ -DIMENSIONAL CRYSTALS

## Theorem

For  $d \geq 2$  and for  $k \geq 6$  there exists a  $d$ -dimensional  $k$ -crystal  $S$  with

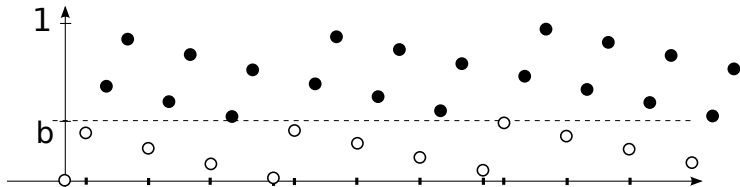
$$h(S) \geq (k + 6)2^{d-2}$$

If  $h_{d,k}$  is the maximal Helly number among all  $d$ -dimensional  $k$ -crystals, then

$$(k + 6)2^{d-2} \leq h_{d,k} \leq k2^d = 4k \cdot 2^{d-2}$$

provided  $d \geq 2$  and  $k \geq 6$ .

# CUT-AND-PROJECT SETS, PICTORIAL DEFINITION



## CUT-AND-PROJECT SETS, FORMAL DEFINITION

## Definition

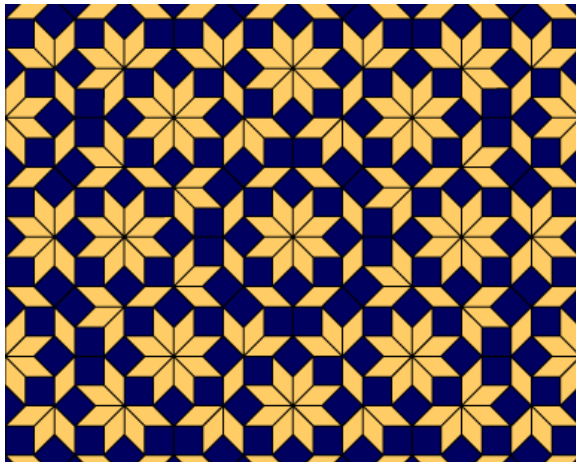
- ▶  $\Lambda$  is a  $(d + k)$ -dimensional lattice in  $\mathbb{R}^d \times \mathbb{R}^k$ ;
- ▶  $W$  is a compact set in  $\mathbb{R}^k$  such that closure of the interior of  $W$  is  $W$ , **the window**;
- ▶  $\pi_1$  and  $\pi_2$  are projections on  $\mathbb{R}^d$  and  $\mathbb{R}^k$ . The projection  $\pi_1|_{\Lambda}$  is injective and the projection  $\pi_2(\Lambda)$  is dense.

Then  $V = V(\mathbb{R}^d, \mathbb{R}^k, \Lambda, W) = \{\pi_1(\mathbf{x}) \mid \mathbf{x} \in \Lambda, \pi_2(\mathbf{x}) \in W\}$  is **cut-and-project set**.

$$\begin{array}{ccccc}
 \mathbb{R}^d & \xleftarrow{\pi_1} & \mathbb{R}^d \times \mathbb{R}^k & \xrightarrow{\pi_2} & \mathbb{R}^k \\
 \cup & & \cup & & \cup \\
 V & & \Lambda & & W
 \end{array}$$

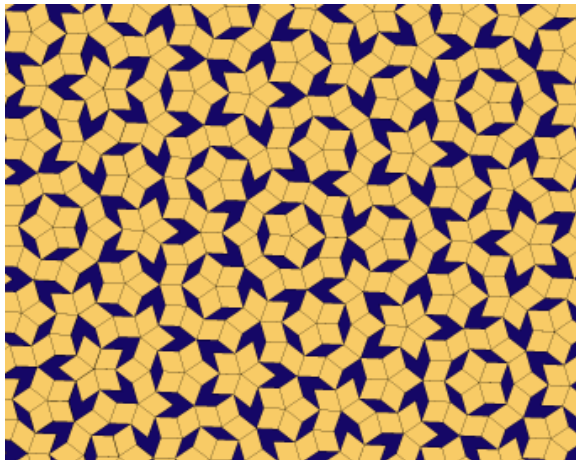


## CUT-AND-PROJECT SETS: EXAMPLES



Ammann-Beenker tiling can be constructed using a two-dimensional window and four-dimensional lattice

## CUT-AND-PROJECT SETS: EXAMPLES



Penrose tiling can be constructed using a three-dimensional window and five-dimensional lattice

# HELLY NUMBERS FOR CUT-AND-PROJECT SETS

## Theorem

If  $V = V(\mathbb{R}^d, \mathbb{R}^k, \Lambda, W)$  is a cut-and-project set with a **convex** window  $W$ , then  $h(V) \leq 2^{d+k}$ .

## Proof.

- ▶ Suppose  $P$  is an empty  $V$ -polytope with at least  $2^{d+k} + 1$  vertices;
- ▶  $\pi_1$ -preimages of the vertices of  $P$  are points of the lattice  $\Lambda$ ;
- ▶ Due to Doignon's theorem, there is an additional lattice point  $\mathbf{x}$  in the convex hull of preimages;
- ▶ Due to convexity of  $W$ , point  $\pi_1(\mathbf{x})$  is in  $V$  and in  $P$ .



## FURTHER QUESTIONS: CRYSTALS

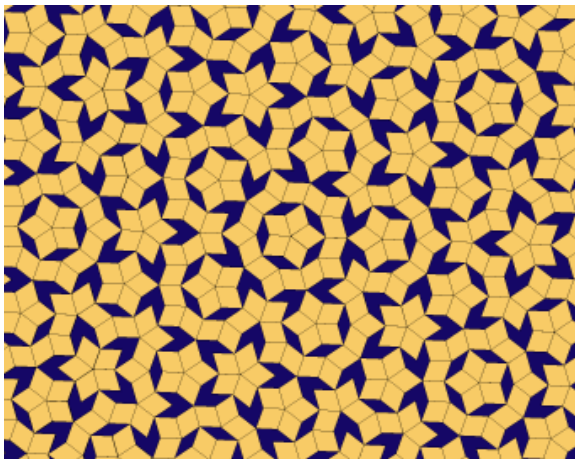
## Question

*What is the exact value for  $h_{d,k}$ ?*

$$(k + 6)2^{d-2} \leq h_{d,k} \leq k2^d$$

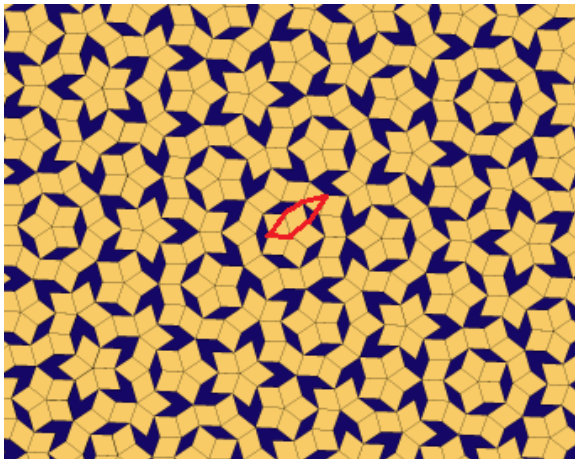
## FURTHER QUESTIONS: CUT-AND-PROJECT SETS

The upper bound  $h(V) \leq 2^{d+k}$  for  $d$ -dimensional cut-and-project sets with  $k$ -dimensional window looks very non-optimal.



## FURTHER QUESTIONS: CUT-AND-PROJECT SETS

The upper bound  $h(V) \leq 2^{d+k}$  for  $d$ -dimensional cut-and-project sets with  $k$ -dimensional window looks very non-optimal.



## FURTHER QUESTIONS: CUT-AND-PROJECT SETS

The upper bound  $h(V) \leq 2^{d+k}$  for  $d$ -dimensional cut-and-project sets with  $k$ -dimensional window looks very non-optimal.

### Conjecture

$$h(\text{vertices of a Penrose tiling}) = 6$$

### Conjecture (weaker version)

$$h(\text{vertices of a Penrose tiling}) \leq 10$$

## FURTHER QUESTIONS

### Question

*What about Helly numbers for discrete sets with weaker or different structure?*

- ▶ *Cut-and-project sets with non-convex windows;*



## FURTHER QUESTIONS

### Question

*What about Helly numbers for discrete sets with weaker or different structure?*

- ▶ *Cut-and-project sets with non-convex windows;*
- ▶ *FLC sets.*

### Definition

For  $x \in X$ , the set of points of  $X$  at distance at most  $r$  from  $x$  is called the  **$r$ -cluster** of  $x$ .

### Definition

$X$  is called a set with **finite local complexity** if for every  $r$  it has only finitely many **non-equivalent**  $r$ -clusters.

$N(r)$ , the number of equivalence classes of  $r$ -clusters, is called the **cluster counting function**.

## FURTHER QUESTIONS: MEYER SETS

### Definition

A Delone set  $X$  is called a **Meyer set** if the set of differences  $X - X$  is a Delone set as well.

### Theorem (Lagarias, 1999)

*A Delone set is a Meyer set if and only if it is a subset of cut-and-project set.*

### Theorem

*Every cut-and-project set contains a Delone set  $X$  with  $h(X) = \infty$ .*

## FURTHER QUESTIONS: REPETITIVE SETS

### Definition

The Delone set  $X$  is called **repetitive** if there exists a function  $f : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  such that every ball of radius  $f(r) + r$  contains every  $r$ -cluster of  $X$ .

### Definition

The Delone set  $X$  is called **linearly repetitive** if  $f$  can be chosen to be linear, so there exists  $C$  such that every ball of radius  $Cr$  contains every  $r$ -cluster of  $X$ .

### Theorem (Lagarias, Pleasants, 2003)

*Linearly repetitive Delone sets have well-defined frequencies for every local cluster.*

## FURTHER QUESTIONS: REPETITIVE SETS

### Question

*Does every repetitive Delone set have a finite Helly number?*

### Question

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## FURTHER QUESTIONS: REPETITIVE SETS

### Question

*Does every repetitive Delone set have a finite Helly number?*

### Question

*What about **densely repetitive** Delone sets? For these sets  $f(r) = O(N(r))^{1/d}$ .*

### Question

*Does every linearly repetitive Delone set have a finite Helly number?*

## FURTHER QUESTIONS: HYPERBOLIC PLANE

## Question

*Does every crystallographic set in  $\mathbb{H}^2$  have a finite Helly number?*

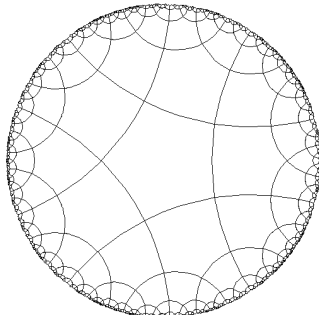
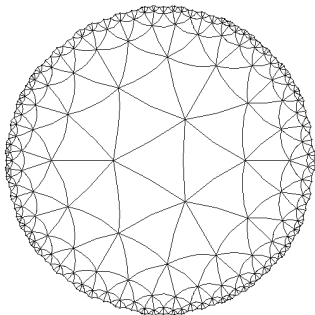
## FURTHER QUESTIONS: HYPERBOLIC PLANE

### Question

*Does every crystallographic set in  $\mathbb{H}^2$  have a finite Helly number?*

### Question

*Which **regular** sets in  $\mathbb{H}^2$  have finite Helly number?*



## FURTHER QUESTIONS: HYPERBOLIC PLANE

## Question

*Is there a Delone set in  $\mathbb{H}^2$  with finite Helly number?*



Thank you!